

DOCKET NO.: CHIR-0312

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of: Mariagrazia
Pizza, Maria Rita Fontana, Valentina
Giannelli and Rino Rappuoli**

COPY

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: March 28, 2001

Examiner: Not Yet Assigned

For: IMMUNOGENIC DETOXIFIED MUTANTS OF CHOLERA TOXIN

EXPRESS MAIL LABEL NO: EL650 273 365US

DATE OF DEPOSIT: March 28, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

DECLARATION OF DOREEN YATKO TRUJILLO

1. I am an attorney admitted to practice law in the States of Texas and Pennsylvania, and am registered before the United States Patent and Trademark Office, Registration No. 35,719.
2. I am an attorney of record in the parent of present patent application.
3. The "Preliminary Amendment" submitted herewith includes an amendment to incorporate a statement, and figure to which the statement refers, from WO 93/13202 incorporated by reference thereto in the present application as filed.
4. The statement is presumed to be essential, as is the figure to which it refers.
5. On page 5, line 33, through page 6, line 6, of the above-identified application as filed, the disclosure of immunogenic detoxified proteins in WO 93/13202 comprising mutations at one or more select amino acid positions in the amino acid sequence of subunit A of cholera toxin (CT-A) and subunit A of heat labile toxin A (LT-A) is described.

6. On page 7, lines 1-3, of WO 93/13202, it is reported that the amino acid positions referred to therein correspond to positions in CT-A. Figure 1 is referenced. Figure 1 of WO 93/13202 includes a comparison of CT-A and various LT-A sequences.
7. The description of Figure 1 on page 11, lines 20-27, of WO 93/13202, indicates that the symbol "." denotes an absent amino acid and the symbol "-" indicates an amino acid in the sequences of LT1 and LT2 that is identical to the corresponding amino acid in CT.
8. The amendatory material consists of information from lines 1-3 on page 7, and lines 20-27 on page 11, of WO 93/13202.
9. The amendatory material, thus, consists of the same material incorporated by reference.
10. I hereby declare that all statements made herein are of my own knowledge true and statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

March 28, 2001
Date

Doreen Yatko Trujillo
Doreen Yatko Trujillo
Registration No. 35,719

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DOCKET NO.: CHIR-0312/0338.104

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Pizza et al.

Serial No.: 09/819,917

Group No.: Not Yet Assigned

Filing Date: March 28, 2001

Examiner: Not Yet Assigned

For: IMMUNOGENIC DETOXIFIED MUTANTS OF CHOLERA TOXIN

I, Doreen Yatko Trujillo, Registration No. 35,719 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Box DSD, Assistant Commissioner for Patents, Washington, D.C. 20231.

On October 29, 2001
Doreen Yatko Trujillo
Doreen Yatko Trujillo, Reg. No. 35,719

BOX DSD

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. §3.73(b)

Chiron S.p.A., a corporation, states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of an undivided part interest
- in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
- ☒ 1. The assignment was recorded in the Patent and Trademark Office at Reel 011301, Frame(s) 0630.
 - ☐ 2. The assignment has not yet been recorded. A copy of the assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- i. From: @@_____ To: @@_____ The document was recorded in the Patent and Trademark Office at Reel @@, Frame(s) @@, or for which a copy thereof is attached.
- ii. From: @@_____ To: @@_____ The document was recorded in the Patent and Trademark Office at Reel @@, Frame(s) @@, or for which a copy thereof is attached.
- iii. From: @@_____ To: @@_____ The document was recorded in the Patent and Trademark Office at Reel @@, Frame(s) @@, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee, **Chiron S.p.A.**

Date:

14 August 2001

Name: Alisa A. Harbin

Title: Vice President and Associate Chief
Patent Counsel

Corporation: Chiron Corporation

DOCKET NO. CHIR-0312

PATENT

[COMMENT1]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Mariagrazia Pizza,
Maria Rita Fontana, Valentina Giannelli and
Rino Rappuoli

Group Art Unit: Not Yet
Assigned

For: IMMUNOGENIC DETOXIFIED
MUTANTS OF CHOLERA TOXIN

Examiner: Not Yet Assigned

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a



Utility Patent



Design Patent

is sought on the invention, whose title appears above, the specification of which:



is attached hereto.



was filed on March 28, 2001 as Serial No. 09/819,917.



said application having been amended on

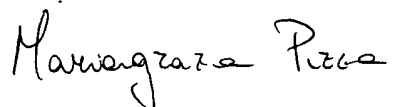
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.


I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a-d) of any **foreign application(s)** for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of any application on which priority is claimed:

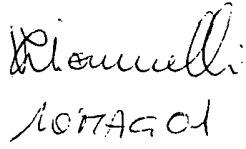
Priority	Country	Serial Number	Date Filed
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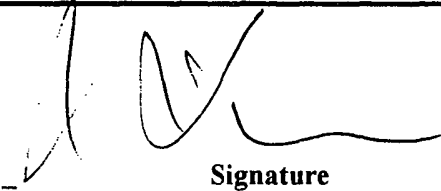
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: Mariagrazia Pizza	 Signature Date of Signature: 10/05/01 Citizenship: <u>Italian</u>
Mailing Address: Via Vittorio Emanuele, 33 53100 Siena Italy	
City/State of Actual Residence: 53100 Siena Italy	

Name: Maria Rita Fontana	 Signature Date of Signature: 10/05/01 Citizenship: <u>Italian</u>
Mailing Address: Via del Colle, 19 53100 Siena Italy	
City/State of Actual Residence: 53100 Siena Italy	

Name: Valentina Giannelli	
--	--

Name: Valentina Giannelli	 10/05/01
Mailing Address: Via Corsano Grotti, 26 53010 Ville di Corsano Monteroni d'Arbia (SI) Italy	
City/State of Actual Residence: Monteroni d'Arbia (SI) Italy	

Name: Rino Rappuoli	 Signature Date of Signature: 10/05/01 Citizenship: <u>Italian</u>
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On October 29, 2001
Doreen Yatko Trujillo
Doreen Yatko Trujillo/ Reg. No. 35,719

BOX DSD

Assistant Commissioner for Patents
Washington, D.C. 20231

POWER OF ATTORNEY

Pursuant to 37 C.F.R. § 1.36, Chiron S.p.A., the Assignee of the above-identified application, hereby appoints the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Robert P. Blackburn	Registration No. 30,447	Joseph H. Guth	Registration No. 31,261
Alisa A. Harbin	Registration No. 33,895	Charlene A. Launer	Registration No. 33,035
David P. Lentini	Registration No. 33,944	Kimberlin L. Morley	Registration No. 35,391
Lisa E. Alexander	Registration No. 41,576	Anne S. Dollard	Registration No. 43,935

of **CHIRON CORPORATION**, 4560 Horton Street, Emeryville, CA 94608-2916, and

Richard E. Kurtz	Registration No. 19,263	Lynn B. Morreale	Registration No. 32,842
Dale M. Heist	Registration No. 28,425	Mark DeLuca	Registration No. 33,229
John W. Caldwell	Registration No. 28,937	Joseph Lucci	Registration No. 33,307
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Terence P. Strobaugh	Registration No. 25,460	Jane Smith Inglese	Registration No. P48,444
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Philadelphia, PA 19103
Telephone No.: (215) 568-3100
Facsimile No.: (215) 568-3439



A Statement under 37 C.F.R. §3.73(b) and copies of relevant documents accompany this
Power of Attorney.

CHIRON CORPORATION

Date:

11 September 2001

By:



Name: **Alisa A. Harbin**

Title: **Vice President and Associate Chief
Patent Counsel**